

# NHELP – National Health Law Program

## Mental Health Services: Medi-Cal Manage Care Rights

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*Kim Lewis – April 12, 2018 – Patients' Rights Advocacy Training*

### **Slide 2**

#### **NATIONAL HEALTH LAW PROGRAM**

National public interest law firm working to advance access to quality health care and protect the legal rights of low-income and underserved people.

*Washington D.C., Los Angeles & North Carolina*

[www.healthlaw.org](http://www.healthlaw.org)

### **Slide 3**

#### **What We will cover...**

Medi-Cal Covered Mental Health Services

1. Delivery Systems
2. Specialty Mental Health Services
  - Services covered
  - Other criteria
3. Plan Responsibilities
4. Recent Changes to Managed Care
  - Timely Access Standards
  - Grievances and Appeals

Question and Answer

## **Slide 4**

### **MEDI-CAL DELIVERY SYSTEMS**

Fee-for-service v. Managed care

## **Slide 5**

### **Voluntary v. Mandatory Managed Care**

- “Mandatory” enrollees in managed care
  - \* Families, childless adults, people with disabilities, aged
- “Voluntary” enrollees
  - \* Foster youth in most counties
    - Not COHS
- Some ineligible for managed care
  - \* Share of cost

## **Slide 6**

### **MEDI-CAL MANAGED CARE**

Types of Plans

## **Slide 7**

### **County Organized Health System**

- COHS serves about ~ 2.2M beneficiaries through six health plans in 22 counties
  - \* *Del Norte, Humboldt, Lake, Lassen, Marin, Mendocino, Merced, Modoc, Monterey, Napa, Orange, San Luis Obispo, San Mateo, Santa Barbara, Santa Cruz, Shasta, Siskiyou, Solano, Sonoma, Trinity, Ventura and Yolo*
- DHCS contracts with a single health plan created by the County
- Plans not Knox-Keene licensed (except HPSM);
  - \* i.e. not regulated by Dept. of Managed Health Care

## **Slide 8**

### **Geographic Managed Care**

- GMC serves ~ 1.1M beneficiaries in two counties
  - \* Sacramento and San Diego
- DHCS contracts with several commercial plans - more choices for the beneficiaries
- All GMC plans are Knox-Keene licensed

## **Slide 9**

### **Two-Plan Model**

- Two-Plan serves ~ 6.9M in beneficiaries in 14 counties
  - \* Alameda, Contra Costa, Fresno, Kern, Kings, Los Angeles, Madera, Riverside, San Bernardino, San Francisco, San Joaquin, Santa Clara, Stanislaus and Tulare
- One Local Initiative (county organized) and one Commercial Plan - DHCS contracts with both
- All are Knox-Keene licensed

## **Slide 10**

### **Regional Model**

- Content Regional serves ~ 300K beneficiaries in 18 counties
  - \* Alpine, Amador, Butte, Calaveras, Colusa, El Dorado, Glenn, Inyo, Mariposa, Mono, Nevada, Placer, Plumas, Sierra, Sutter, Tehama, Tuolumne and Yuba
- two Commercial Plans that contract with DHCS
- All are Knox-Keene licensed

## **Slide 11**

### **Other County Models**

- Imperial Model. This plan serves ~ 75K in Imperial County where DHCS contracts with two commercial plans
- San Benito (Voluntary) Model. This plan serves ~ 8K in San Benito County where DHCS contracts with one commercial plan

## Slide 12

### MEDI-CAL MENTAL HEALTH MANAGED CARE

The “Carve Out” Delivery System

## Slide 13

### 1915(b) Specialty Mental Health Services (SMHS) Waiver

- Consolidated the Psychiatric Inpatient Hospital services provided through the fee-for-service (FFS) delivery system and the Short-Doyle/Medi-Cal system (SD/MC)
  - \* County mental health departments became responsible for both FFS and SD/MC psychiatric hospital systems for the first time
- All Medi-Cal beneficiaries must receive SMHS through the county: The 1915(b) Medicaid waiver – takes away “freedom of choice” for the beneficiary
- This resulted in the risk for this entitlement program shifting from the state to the counties – “*Realignment*”

## Slide 14

### 1915(b) SMHS Waiver

- The State Plan and the Waiver is California’s agreement between Centers for Medicare and Medicaid Services (CMS) and DHCS for the administration of the SMHS under the Medi-Cal program
  - \* <http://www.dhcs.ca.gov/formsandpubs/laws/Pages/CaliforniStatePlan.aspx>
- Under the waiver, 56 local county mental health plans (MHPs) are responsible for the local administration and provision of Medi-Cal SMHS
  - \* directly and/or through a network of contractors
  - \* Current SMHS waiver term: July 1, 2015 - June 30, 2020

## Slide 15

### SMHS Funding/Reimbursement

- MHPs are reimbursed a percentage of their actual expenditures (Certified Public Expenditures-CPE) based on the Federal Medical Assistance Percentage (FMAP)

- \* Same for all SMHS except FFS/MC inpatient hospital services
- \* 1915(b) Waiver limits reimbursement to an Upper Payment Limit (UPL) for each MHP based on actual CPE incurred by MHP
- County MHPs are reimbursed an interim amount throughout the fiscal year based on approved Medi-Cal services and interim billing rates
- County MHPs and DHCS reconcile the interim amounts to actual expenditures through the year end *cost report settlement* process
- DHCS audits the cost reports to determine final Medi-Cal entitlement

## Slide 16

### Who regulates the various Medi-Cal plans?

- DHCS regulates the following:
  - \* Other COHS and PCCMs
  - \* County Mental Health Plans
  - \* Denti-Cal Plans
  - \* 2Plan, GMC, RM, SB, IM Plans + HPSM.
- DMHC regulates the following:
  - \* Denti-Cal Plans
  - \* 2Plan, GMC, RM, SB, IM Plans + HPSM.

## Slide 17

### SPECIALTY MENTAL HEALTH SERVICES

Covered Services

## Slide 18

### Specialty Mental Health Services

### Cal Code of Regulations, title 9, Statute 1810.247

Outpatient Services

- Mental Health Services (assessment, plan development, therapy, rehabilitation and collateral)
- Medication Support Services
- Day Treatment Intensive
- Day rehabilitation
- Crisis Residential
- Crisis Intervention

- Crisis Stabilization
- Adult Residential Tx
- Targeted Case Management
- EPSDT services

#### Inpatient Services

- Acute psychiatric inpatient hospital services
- Psychiatric Inpatient Hospital Professional Services (if the beneficiary is in a fee-for-service hospital)
- Psychiatric Health Facility Services

## Slide 19

### Access to Specialty Mental Health Services (SMHS)

- Have an included mental health diagnosis
- Must meet medical necessity criteria
  - \* and must meet specific impairment and intervention criteria
- Different impairment and intervention criteria for adults and children
  - \* Adults must have a significant level of impairment
  - \* Children can have any impairment level

## Slide 20

### SMHS - Included Diagnoses

- (A) Pervasive Developmental Disorders, except Autistic Disorders
- (B) Disruptive Behavior and Attention Deficit Disorders
- (C) Feeding and Eating Disorders of Infancy and Early Childhood
- (D) Elimination Disorders
- (E) Other Disorders of Infancy, Childhood, or Adolescence
- (F) Schizophrenia and other Psychotic Disorders, except those due to a General Medical Condition
- (G) Mood Disorders, except those due to a General Medical Condition
- (H) Anxiety Disorders, except those due to a General Medical Condition
- (I) Somatoform Disorders
- (J) Factitious Disorders
- (K) Dissociative Disorders
- (L) Paraphilias
- (M) Gender Identity Disorder
- (N) Eating Disorders
- (O) Impulse Control Disorders Not Elsewhere Classified
- (P) Adjustment Disorders

- (Q) Personality Disorders, excluding Antisocial Personality Disorder
- (R) Medication-Induced Movement Disorders related to other included diagnoses.

## **Slide 21**

### **MHP is not responsible - Excluded Diagnoses**

- Mental Retardation
- Learning Disorders
- Motor Skills Disorder
- Communication Disorders
- Autistic Disorders (Other Pervasive Developmental Disorders are included)
- Tic Disorders
- Delirium, Dementia, and Amnesic and Other Cognitive Disorders
- Mental Disorders Due to a General Medical Condition
- Substance-Related Disorders
- Sexual Dysfunctions
- Sleep Disorders
- Antisocial Personality Disorder

## **Slide 22**

### **Early & Periodic Screening, Diagnosis, & Treatment (EPSDT)**

- Mandatory service for Medi-Cal eligible children and youth up to age 21
- State or MCPs have to screen all children
- Covers ALL treatment necessary to “*correct or ameliorate physical and mental illnesses and conditions,*” even if service not covered under the state plan

## **Slide 23**

### **Children must meet all the following:**

- Included Diagnosis
- Condition not responsive to physical health care treatment
- Meet medical necessity
  - \* necessary to “*correct or ameliorate*” the condition

## Slide 24

### MCP AND MHP RESPONSIBILITIES

Service Coordination

## Slide 25

### Mental Health Services Added to MCPs in 2014

- Mental health services included in the essential health benefits package adopted by the State
  - \* Part of the Affordable Care Act (ACA)
- MCPs must provide mental health benefits covered in the state plan, excluding benefits provided by MHPs under the SMHS Waiver
  - \* To all managed care enrollees

<http://www.dhcs.ca.gov/formsandpubs/Documents/MMCDAPLsandPolicyLetters/APL2013/APL13-018.pdf>

## Slide 26

### Medi-Cal Mental Health and Substance Use Disorder Services (MHSUDS) Delivery Systems

Target Populations and Services:

- Medi-Cal Managed Care Plans (MCP)
  - \* Target Population: Children and adults eligible for outpatient non-specialty mental health services ( adults: mild to moderate conditions)
    - Non-Specialty Mental Health Services Carved-in Effective 1/1/14
      - \* Mental Health Services
        - Individual and group mental health evaluation and treatment (psychotherapy)
        - Psychological testing when clinically indicated to evaluate a mental health condition
        - Outpatient services for monitoring drug therapy
        - Outpatient laboratory, medications, supplies, and supplements
        - Psychiatric consultation
      - \* Alcohol Abuse Services
        - Screening, Brief Intervention, and Referral to Treatment
- County Mental Health Plan (MHP)



- \* Target Population: Children and adults with disabling conditions that require mental health treatment (children; adults w/ severe cond.)
  - Medi-Cal Specialty Mental Health Services
    - \* Outpatient Services
      - Mental Health Services (assessments, plan development, therapy, rehabilitation and collateral, medication support)
      - Day Treatment services and rehabilitation
      - Crisis intervention and stabilization
      - Targeted Case Management
      - EPSDT specialty mental health services
    - \* Inpatient Services
      - Acute psychiatric inpatient hospital services
      - Psychiatric Health Facility services
      - Psychiatric Inpatient Hospital Professional Services if the beneficiary is in a FFS hospital

Source: DHCS

## **Slide 27**

### **MCP Responsibilities**

- Screening
- Assessment of needs
- Referral to MHP
- Care Management
  - \* Physical and mental health
- Coordination of medically necessary care
  - \* in and outside the network
- Memorandums of Understanding with the MHP

## **Slide 28**

### **Screening and Referral Pathways**

Primary Care Provider

- Health Risk Assessment
- Screening

MCP's Mental Health Provider

- Health Risk Assessment

- Screening
    - \* Agreed upon with MHP
- MHP's Specialty Mental Health Provider
- Assessment
    - \* Agreed upon with MCP

## **Slide 29**

### **Memorandum of Understanding (MOU)**

#### Objectives

- Ensure coordination between the managed care plans and specialty mental health plans
- Promote local flexibility that exists at the county level

#### Core Elements

- Basic Requirements
- Covered Services and Populations
- Oversight Responsibilities of the MCP and MHP
- Screening, Assessment, and Referral
- Care Coordination
- Information Exchange
- Reporting and Quality Improvement Requirements
- Dispute Resolution
- After-Hours Policies and Procedures
- Member and Provider Education

Source: DHCS

## **Slide 30**

### **DHCS Guidance: MCP All Plan Letters (APLs)**

- Medi-Cal Managed Care Health Plan Responsibilities for Outpatient Mental Health Services (Supersedes APL 13-021): APL 17-018
- Dispute Resolution Process for Mental Health Services: APL 15-007
- Physical Health Care Covered Services Provided for Members Who Are Admitted to Inpatient Psychiatric Facilities: APL 15-015
- Requirements for coverage of EPSDT Services for Medi-Cal Beneficiaries under Age 21: APL 14-017
- All Plan Letters DHCS website:  
<http://www.dhcs.ca.gov/formsandpubs/Pages/AllPlanLetters.aspx>

## **Slide 31**

### **DHCS Guidance: MHSUDS Information Notices and Resources**

- MHSUDS Information Notice 14-020 (describes outpatient Medi-Cal mental health services covered by MCPs and Fee-for-Service Medi-Cal)
- MHSUDS Information Notice 15-015 (provides guidance to MHPs on how to submit a MHP/MCP service delivery dispute that cannot be resolved at the local level to the Department of Health Care Services (DHCS))
- MHSUDS Stakeholder Information Website (provides links to various activities and information related to the planning, delivery and monitoring of MHSUD services): [http://www.dhcs.ca.gov/provgovpart/Pages/MH-SUD\\_Partners-Stakeholders.aspx](http://www.dhcs.ca.gov/provgovpart/Pages/MH-SUD_Partners-Stakeholders.aspx)
- MHSUDS Stakeholder Information e-mail (stakeholders may use this email to submit MHSUDS-related comments, concerns, or questions): [MHSUDStakeholderInput@dhcs.ca.gov](mailto:MHSUDStakeholderInput@dhcs.ca.gov)

## **Slide 32**

### **RECENT Changes to Managed Care**

1. Timely Access Standards
2. Due Process (Grievances and Appeals)

## **Slide 33**

### **Medicaid Managed Care Rules**

- Federal Managed Care Regulations – Part 438 of title 42 Code of Federal Regulations
- Final rule issued May 6, 2016
  - Effective date of Final Rule was July 5, 2016
- Phased implementation of new provisions over a 3 year period
  - Network Adequacy (Access Standards): July 1, 2018
  - Grievances and Appeals: July 1, 2017
- Rules apply to PIHPs (= MHPs) and align with MCOs (=MCPs)

## Slide 34

### Access to Care Standards

Time and distance standards

Timely access to appointments

## Slide 35

### Time and Distance Standards – Mental Health Services – July 1, 2018

Standards vary by county size:

- (Rural): 60 miles / 90 minutes from beneficiary's residence
  - \* Alpine, Calaveras, Colusa, Del Norte, Glenn, Humboldt, Imperial, Inyo, Lassen, Mariposa, Mendocino, Modoc, Mono, Plumas, San Benito, Shasta, Sierra, Siskiyou, Tehama, Trinity, and Tuolumne
- (Small): 45 miles / 75 minutes from beneficiary's residence
  - \* Amador, Butte, El Dorado, Fresno, Kern, Kings, Lake, Madera, Merced, Monterey, Napa, Nevada, San Bernardino, San Luis Obispo, Santa Barbara, Sutter, Tulare, Yolo, and Yuba.
- (Medium): 30 miles / 60 minutes from beneficiary's residence
  - \* Marin, Placer, Riverside, San Joaquin, Santa Cruz, Solano, Sonoma, Stanislaus, and Ventura.
- (Large): 15 miles / 30 minutes from beneficiary's residence
  - \* Alameda, Contra Costa, Los Angeles, Orange, Sacramento, San Diego, San Francisco, San Mateo, and Santa Clara

## Slide 36

### Timely Access to Appointments

- Urgent care appointments that do not require prior authorization
  - Standard access to appointment: 48 hours
- Urgent care appointments that do require prior authorization
  - Standard access to appointment: 96 hours
- Non-urgent primary care appointments
  - Standard access to appointment: 10 business days
- Non-urgent specialist (Psychiatry)
  - Standard access to appointment: 15 business days
- Non-urgent mental health provider (non-psychiatry)
  - Standard access to appointment: 10 business days

- Non-urgent appointment for ancillary services for the diagnosis or treatment of injury, illness or other health conditions
  - Standard access to appointment: 15 business days
- Telephone wait time
  - Standard access to appointment: No more than 10 minutes
- Normal business hours
  - Standards
- Triage – 24/7 services
  - Standard access to appointment: 24/7 services; Call back time is no more than 30 minutes

## **Slide 37**

### **Timely Access - Limited Exceptions**

#### Extended Appointments

- If referring or treating provider has determined a longer wait time will not have a detrimental impact on the health of the beneficiary\*
- Must be noted in the beneficiary's record

#### Periodic Office Appointments

- Visits to monitor and treat mental health conditions may be scheduled in advance\*

\* consistent with professionally recognized standards of practice as determined by the treating licensed mental health provider acting within the scope of his or her practice

## **Slide 38**

### **Network Adequacy Guidance to MCPs /MHPs**

- DHCS Final Network Adequacy Standards:  
<http://www.dhcs.ca.gov/formsandpubs/Documents/FinalRuleNAFinalProposal.pdf>
- AB 205 (Wood)
- APL 18-005 (2/16/18)
- MHSUDS Information Notice 18-011 (released 2/13/18)

## **Slide 39**

### **Grievance and Appeals**

Notice and the Right to a Hearing in Medi-Cal

## **Slide 40**

### **Medicaid Due Process: Legal Authority**

- 14<sup>th</sup> Amd., U.S. Const.
- 42 U.S.C. statute 1396a(a)(3)
- 42 U.S.C. statute 1396u-2(b)(4)
- 42 C.F.R. pts. 431, 438 pt E (MC)
- Welf. & Instit. Code statute 14197.3
  - \* (Added by Stats. 2017, Ch. 738, Sec. 7. (AB 205) Effective January 1, 2018.)

DUE PROCESS = NOTICE & OPPORTUNITY TO BE HEARD

## **Slide 41**

### **Grievance and Appeal Systems**

- MHP must have a grievance and appeal system that meets the requirements of federal regulations
- State must conduct random reviews of each MHP and its providers/subcontractors to ensure they are notifying enrollees in a timely manner

## **Slide 42**

### **Handling of Grievances and Appeals**

- Plan must give reasonable assistance
  - \* completing forms
  - \* procedural steps related to a grievance or appeal
- Includes auxiliary aids and services
  - \* providing interpreter services
  - \* toll free numbers
  - \* adequate TTY/TTD and interpreter capability

## Slide 43

### Plan Recordkeeping

- Must maintain records of grievances and appeals
- State must review them as part of ongoing monitoring
- Record of each grievance or appeal must contain all of the following:
  - \* General description of the reason for appeal or grievance
  - \* Date received
  - \* Date of each review
  - \* Resolution at each level of the appeal or grievance
  - \* Name of beneficiary for whom appeal or grievance was filed
- Records must be maintained in a manner accessible to state (and available upon request to CMS)

## Slide 44

### Appeals

Action = *Adverse Benefit Determination* =

- Denial, reduction, suspension, termination, delay of service
- Denial/limited approval based on medical necessity, appropriateness, type, level, setting or effectiveness
- Disputes involving cost sharing
- Failure to act within timeframe for standard grievances

## Slide 45

### Terminations, Reductions, Suspensions of an existing service

- Plan decides to termination, reduce, or suspend a service that someone is already receiving
- Includes situations where a person is receiving a service, and requests reauthorization of the same service

## Slide 46

### Grievance

- An expression of dissatisfaction about any matter other than an *adverse benefit determination*

- Can be filed *any time*
- Oral or written
- Resolution: w/i 90 calendar days of MC receipt

## **Slide 47**

### **Notice of Adverse Benefit Determination – Timing**

- Terminations, Reductions, Suspensions: plan must provide notice at least 10 days in advance of the decision effect date
- Denial, delay, or modification of all or part of the requested specialty mental health service request: within 2 business days of decision /as expeditiously as condition requires (not to exceed 14 days) (APL 17-006; MHSUDS IN 18-010)
- Denial of payment, at the time of any action denying the provider's claim

## **Slide 48**

### **Contents of the Notice**

- Statement of the action the plan intends to take
- Clear and concise explanation of the reasons for the decision
  - \* If based on medical necessity, the notice must include the clinical reasons for the decision
  - \* Explicitly state why the beneficiary's condition does not meet specialty mental health services and/or medical necessity criteria
- Description of the criteria or guidelines used
  - \* This includes medical necessity criteria, and any processes, strategies, or evidentiary standards used in making such determinations
- Right to be provided (upon request and free of charge) reasonable access to and copies of all documents, records, and other information relevant to the ABD

## **Slide 49**

### **Model NOABDs required**

- Denial of authorization for requested services
- Denial of payment for a service rendered by provider.
- Delivery system (MCP v MHP)



- \* determination that beneficiary does not meet the criteria to be eligible for specialty mental health or substance use disorder services through the Plan
- Modification of requested services Use this template
- Termination of a previously authorized service
- Delay in processing authorization of services
- Failure to provide timely access to services
- Dispute of financial liability

## Slide 50

### Process to Appeal Adverse Benefit Determinations

- Step 1: Notice of ABD
- Step 2: Plan Internal Appeal
- Step 2a: Two Options
  - \* DMHC Complaint Not MHPs or
  - \* IMR Not MHPs
- Step 3: State Fair Hearing

## Slide 51

### Step 1: Internal Plan Review

#### Appeal

- Review of an Adverse Benefit Determination
- Must request *within 60 days of notice*

#### Note: Grievance

*Expression of dissatisfaction about any matter not an adverse benefit determination*

- Can request at any time

## Slide 52

### Benefits Continuing Pending Appeal

- Only available for cases involving a termination, reduction, or suspension of service
- Must request continuing benefits within 10 days of the notice date **or** before the proposed change
- Benefits continue until appeal is resolved favorably (may request again at fair hearing stage)

## Slide 53

### Expedited Internal Plan Review

- Available when provider indicates (or the plan determines) that standard timeframe may *seriously jeopardize the beneficiary's life or health or ability to attain, maintain, or regain maximum function*
- Plan must resolve expedited appeal within 72 hours

## Slide 54

### Internal Review Process Requirements

Plans must:

- Ensure that decision-maker:
  1. has the ability to require corrective action,
  2. was not involved in any prior decisions on the case, and
  3. has appropriate clinical expertise in treating condition
- Provide enrollee the opportunity to present evidence, and allegations in person and in writing
- Allow the enrollee (or her representative) to examine any case records
- Resolve grievances and appeals within time required by enrollees health condition - generally no more than 30 days

## Slide 55

### Notice of Appeals Resolution (NAR)

- When Adverse Benefit Determination or other plan decision is upheld or not resolved 100% in the enrollee's favor
- Timeframe for resolution
  - \* Standard cases: 30 days
  - \* Expedited cases: 72 hours
  - \* Time may be extended by 14 days if requested by beneficiary or delay is in beneficiary's interest
    - provide written notice of the extension within two calendar days

## Slide 56

### NAR Content

- If Adverse Benefit Determination is upheld:
  - \* Result of the appeal and the date of decision

- \* Criteria, clinical guidelines or policies used
- \* Explanation that:
  - Enrollee also has the right to a Medi-Cal fair hearing
  - Enrollee has the right to continue benefits, and how to do so
- If Adverse Benefit Determination is overturned:
  - \* clear and concise explanation of the reason

Note: plan must authorize or provide the disputed services promptly (*no longer than 72 hours*)

## Slide 57

### Step 2: External Review

- When Can It Be Requested
  - \* When Adverse Benefit Determination or other plan decision is upheld or not resolved 100% in the enrollee's favor
- What You Get: State Fair Hearing
  - \* For cases involving adverse benefit determinations, must file for hearing *within 120 days of the NAR*
  - \* For grievances that are not an adverse benefit determination, generally must file within *90 days of the event* at issue (including time spent in grievance)

## Slide 58

### External Review: Exhaustion

- Consumers generally must exhaust the plan's internal review process before they can proceed to external review
  - \* NOTE: it's not clear if you must exhaust the appeal process for issues that do not involve an adverse benefit determination
  - \* *Deemed exhaustion*: when plan fails to provide adequate notice or follow grievance/appeal rules, or does not resolve grievance/appeal within 30 days
  - \* Exception: urgent cases

## Slide 59

### Expedited Review

- When Eligible for Expedited Review

- \* When standard timeframe may seriously jeopardize the beneficiary's life or health or ability to attain, maintain, or regain maximum function
- Timeframe: State Fair Hearing
  - \* resolve *within 3 business days of request*, or quicker if required by the enrollee's health condition

## Slide 60

### What is a State Fair Hearing?

- Review by an Administrative Law Judge employed by the state
- Available to review any action or inaction by state or its agents related to Medi-Cal eligibility or benefits, such as:
  - \* Denial of benefits/failure to act with reasonable promptness
  - \* Reduction, suspension, termination of service
  - \* Transfer or discharge from SNF
- But Not: if *sole* issue is federal or state law and:
  - \* Enrollee does not question that the law has been correctly applied
  - \* A change in the law requires a reduction in Medi-Cal benefits

## Slide 61

### Resolution at State Fair Hearing

- Standard cases: A decision within 90 days of request
- Expedited cases: Within 3 business days, or quicker if required by the enrollee's health condition
- Plan must implement the decision within 72 hours, or quicker if required by the enrollee's health condition

## Slide 62

### Mental Health Plan Appeal

Diagram with 3 boxes with arrows between them pointing towards another box. Box 1 has an arrow pointing towards box 2 and reads:

- Adverse Benefit Determination (Individual has up to 60 calendar days from date on notice to file)
  - \* Notice must be provided at least 10 days prior to action
  - \* Enrollee has up to 10 days to request continued benefits

Box 2 has an arrow pointing towards box 3 and reads:

- Plan Internal Appeal

- \* Decision w/i 30 calendar days after plan receives appeal
- \* Only one level permitted

Box 3 has an arrow pointing at it coming from box 1 with an “X” over the arrow and reads:

- State Fair Hearing (Individual has up to 120 days to request fair hearing after plan decision)
  - \* Decision w/i 90 days post filing
  - \* “Deemed exhaustion”

No State option for direct path to SFH w/o in-plan exhaustion

## Slide 63

### After State Fair Hearing Resolution

- *Rehearing* is available at the state’s discretion
  - \* Must request *within 30 days* of hearing decision
- Go to state or federal court
- NOTE: DHCS Director must adopt or alternate *proposed decision* by DSS ALJ

## Slide 64

### Grievances and Appeals review

- Right to file a grievance
  - \* Complaint re quality of services or other issues
- Right to file an appeal
  - \* Any *Adverse Benefits Determination*
  - \* Right to a “state fair hearing”
    - Must appeal to plan before can get a hearing
- Need help?
  - \* Health Consumer Alliance: (888) 804-3536
  - \* [www.healthconsumer.org](http://www.healthconsumer.org)

## Slide 65

### Grievance & Appeals – Resource & DHCS Guidance to MCPs and MHPs

- AB 205 (Wood) - Welf. & Instit. Code § 14197.3
- APL 17-006 (released 5/9/17)
- MHSUDS Information Notice 18-010 (released 2/14/18)

## Slide 66

### Parity In Mental Health & SUD services

## Slide 67

### CA Parity Requirements

- March 29, 2016, CMS issued the Medicaid Mental Health Parity Final Rule (Parity Rule)
  - \* applies to Medicaid MCOs
  - \* inpatient, outpatient, emergency care and prescription drugs
- Parity Between MH/SUDS and medical / surgical services
- Parity includes:
  - \* Aggregate lifetime and annual dollar limits
  - \* Financial requirements (FR) such as copayments, coinsurance, deductibles, and out-of-pocket maximums
  - \* Quantitative treatment limitations (QTLs), which are limits on the scope or duration of benefits that are represented numerically, such as day limits or visit limits
  - \* Non-quantitative treatment limitations (NQTLs), such as limits on the scope/ duration of benefits in processes, strategies, and evidentiary standards, or other factors, such as medical management standards

## Slide 68

### DHCS Parity Compliance Plan: MCPs/MHPs

- Contract Alignment
- Quantitative Treatment Limits (QTL)
- Non-Quantitative Treatment Limits (NQTL)
  - \* Network Adequacy
  - \* Grievances and Appeals
  - \* Authorization process and timeframes for SMHS ~ 7/2018
  - \* Continuity of Care ~7/2018

## Slide 69

### Parity Resources

- October 2, 2017 Compliance Plan submitted to CMS  
<http://www.dhcs.ca.gov/formsandpubs/Pages/FinalRule.aspx>

- October 2, 2017 Compliance Plan submitted to CMS  
<http://www.dhcs.ca.gov/formsandpubs/Pages/FinalRule.aspx>

## **Slide 70**

### **Questions?**

Feel free to contact me with any questions. This is my contact information:

- Name: Kim Lewis
- Title: Managing Attorney
- Organization: National Health Law Program
- Email: [lewis@healthlaw.org](mailto:lewis@healthlaw.org)

## **Slide 71**

### **Thank You**

NHeLP website: [www.healthlaw.org](http://www.healthlaw.org)

NHeLP Offices:

- Washington DC
  - \* Address: 1444 I Street NW, Suite 1105, Washington, DC 20005
  - \* Phone: (202) 289-7661
  - \* Fax: (202) 289-7724
  - \* Email: [nhelpdc@healthlaw.org](mailto:nhelpdc@healthlaw.org)
- Los Angeles
  - \* Address: 3701 Wilshire Blvd, Suite #750, Los Angeles, CA 90010
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